



2. *Confidentiality*

The IACUC will ensure that confidentiality is maintained throughout an investigation. Under the Animal Welfare Act, any person(s), who submits the complaint, will *not* receive reprisals for submitting the complaint to the IACUC (AWRs section 9 CFR Part 2, Subpart C, Section 2.32(c)(4)). Details regarding the progress of the investigation, including the complaint and the complainant, are considered confidential. The IACUC acknowledges that false allegations can jeopardize the integrity of the institution, employees, and research.

3. *Reporting Timeline*

In general, the complaint should be submitted to the IACUC Chair but a person, who wishes to report an allegation of noncompliance, should notify any appropriate individual (see “Reporting Allegations of Noncompliance”) promptly. If the complaint rises to the level of possible noncompliance, the IACUC Chair will convene an emergency meeting of the UAA IACUC as soon as possible. The IACUC will work to ensure a timely response to an allegation. The researcher against whom the allegation is made will be



- C. PHS Policy requires that the Institutional Official report any serious or continuing noncompliance to NIH.
- D. The Institutional Official will inform the whistleblower about the outcome of the investigation.
  - a) If the allegation is found to be malicious, or have ill intent, the outcome will be shared with appropriate institutional authorities.